

FORM PTO-1390  
(REV. 10-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

SAKAG0024

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

10/506669

INTERNATIONAL APPLICATION NO.  
PCT/JP03/02547INTERNATIONAL FILING DATE  
5 March 2003PRIORITY DATE CLAIMED  
5 March 2002

TITLE OF INVENTION METHOD FOR PRODUCING BIODEGRADABLE FIBER MATERIAL MOLDINGS

APPLICANT(S) FOR DO/EO/US Kiyokazu TANAKA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☒ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:  
application as filed in Japan, PCT/IB/301, PCT/IB/304, PCT/IB/306, PCT/IB/308, PCT/IB/332, PCT/IPEA/402, PCT/ISA202, PCT/ISA220, PCT/IPEA/401, Notice of Transmittal of International Preliminary Examination Report, International Preliminary Examination Report, Written Opinion and Reply, Notification of International Application Number and Filing Date; PCT/RO/101; Submission of Notification of Change of Person, Notification of Change of Person.

U.S. APPLICATION NO. (if known) (see 37 CFR 1.5)

10/506669

INTERNATIONAL APPLICATION NO.

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21. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO. .... \$1080.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$920.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$770.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$730.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

\$ 920.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months  
from the earliest claimed priority date (37 CFR 1.492(e)).

\$ -0-

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	20 - 20 =	-0-	x \$18.00	\$ -0-
Independent claims	4 - 3 =	1	x \$86.00	\$ 86.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$290.00	\$ -0-

**TOTAL OF ABOVE CALCULATIONS =**

\$ 1,006.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above  
are reduced by 1/2.

\$ -0-

**SUBTOTAL =**

\$ 1,006.00

Processing fee of \$130.00 for furnishing the English translation later than 30 months  
from the earliest claimed priority date (37 CFR 1.492(f)).

\$ -0-

**TOTAL NATIONAL FEE =**

\$ 1,006.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ -0-

**TOTAL FEES ENCLOSED =**

\$ 1,006.00

**Amount to be  
refunded:**

\$

**charged:**

\$

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 501281 in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 501281. A duplicate copy of this sheet is enclosed.
- d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card  
information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a)  
or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Joerg-Uwe Szipl  
Griffin & Szipl, P.C.  
2300 Ninth Street South  
Suite PH-1  
Arlington, VA 22204

SIGNATURE

Joerg-Uwe Szipl

NAME

31,799

REGISTRATION NUMBER

Date: September 3, 2004

SAKAGUCHI PATENT OFFICE

Harigaya 3-19-9-701

Urawa-ku, Saitama-shi

Saitama, 330-0075, Japan

Tel : 048-832-1244 Fax : 048-832-1261

August 10, 2004

VIA EMS

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

RE : Submission of Notification of Change of Person  
PCT/JP03/02547

Dear Sirs,

The annexed is a NOTIFICATION OF CHANGE OF PERSON (in Japanese) for the International Application No. PCT/JP03/02547. The notification was prepared to file with Japanese Patent Office. However, the JPO advised me to directly send it to the International Bureau of WIPO because of too short remaining time before the due date for entering the selected countries (September 5, 2004). Therefore, I would like to ask you to accept the notification and notify the selected offices of the change.

Very truly yours,

  
Yoshihiko Sakaguchi

## NOTIFICATION OF CHANGE OF PERSON

To: Commissioner of the Patent Office

1. Identification of the International Application    PCT/JP03/02547

2. Applicant

Name:     DAINIPPON PHARMACEUTICAL CO., LTD.

Address:   6-8, Dosho-machi 2-chome, Chuo-ku, Osaka-shi, Osaka,  
             541-8524, Japan

Country of nationality:   Japan

Country of residence:     Japan

3. New Person

Relationship to the International Application:

Applicant for the purpose of all designated states except the United  
States of America

Name:     DAINIPPON PHARMACEUTICAL CO., LTD.

Address:   6-8, Dosho-machi 2-chome, Chuo-ku, Osaka-shi, Osaka,  
             541-8524, Japan

Country of nationality:   Japan

Country of residence:     Japan

Relationship to the International Application:

Applicant for the purpose of the United States of America and inventor  
for the purpose of all designated states

Name:     TANAKA Kiyokazu

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Country of nationality:   Japan

Country of residence:     Japan

4. Agent

Name:     SAKAGUCH Yoshihiko

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             330-0075, Japan